



# Confidential Client Profile

## Privacy Act Disclosure

The information contained on this form is collected and used only by Cave Financial Consulting Ltd, of Quantum Broker Group, for the express purpose of providing you with advice and information regarding personal risk management, medical insurance, retirement planning, investment, mortgage finance and fire & general insurance. You have a right under the Privacy Act 1993 to access and request correction of any personal information held by us regarding you.

	First Names	Surname	DOB	Age	Smoker	M/F
Client						
Spouse/Partner						
Children						
Home Address			Home Phone			
			Home Fax			
Postal			Mobile			
			Home Email			
<b>Employment Details - Self</b>						
Employer			Work Phone			
Industry			Work Fax			
Occupation			Mobile			
Duties			Email			
Time in Role		Start Date		Web Site		
Occ Rating		Income		Fringe Bens		
Work Address						
Postal Address						
<b>Employment Details – Spouse/Partner</b>						
Employer			Work Phone			
Industry			Work Fax			
Occupation			Mobile			
Duties			Email			
Time in Role		Start Date		Web Site		
Occ Rating		Income		Fringe Bens		
Work Address						
Postal Address						



Other Advisors							
Solicitor - Pers			Firm		Ph		
Solicitor - Bus			Firm		Ph		
Accountant - Pers			Firm		Ph		
Accountant - Bus			Firm		Ph		
Fire & General - Pers			Firm		Ph		
Fire & General - Bus			Firm		Ph		
Bankers - Pers			Branch				
Bankers - Bus			Branch				
Investment Advisor			Firm				
Other Advisors			Their Role				
IRD # Client			IRD # Spouse				
Current Personal Insurances, Retirement Plans, Medical Cover etc...							
	Company	Type	Pol Number	Value	Sum Insured	Premium	Owner
Self							
Spouse							
Medical							
Medical							
Prof Ind							



Assets				
	Value	Ownership	Notes	
Home				
Contents				
Vehicles				
Other Assets				
Total Assets				
Liabilities				Payments
	Capital Amount	Lender	Notes	
Mortgages				
Overdraft				
Hire Purchase				
Cards				
Taxation				
Other Debts				
Total Liabilities				
Assets				
Liabilities				
Net Worth				
Wills and Estate Planning				
	Y/N	Who	Firm or Contact Details	Reviewed
Do you have a Will – Client?				
Do you have a Will – Spouse?				
Executor of your Will – Client?				
Executor of your Will – Spouse?				
Do you have a Family Trust?				
Power of Attorney				
Enduring Power of Attorney				
Guardian for the Children				
Do you expect any Inheritances				
Would you like us to send a schedule of your insurances to your Executor each year?				



Hobbies, Interests and Goals				
Hobbies and Interests - Client				
Level of Involvement, Risk etc...				
Hobbies and Interests - Spouse				
Level of Involvement, Risk etc...				
Major Goals for next 12 months - Client				
Major Goals for next 12 months - Spouse				
Major Goals for next 5 years - Client				
Major Goals for next 5 years - Spouse				
What is your picture of Retirement				
What does 'Retirement' mean to you				
What will you be doing				
Where will you be living				
What will you want to spend each year				
Do you expect any external assistance				
Ideally what age would you have the ability to retire or enjoy financial independence				
Major Areas of Current Concern				
Client				
Spouse				
Financial Requirements on Premature Death during next 12 months				
Death of Client		Value	Death of Spouse/Partner	
Any Health Issues		Client		Spouse/Partner
Musculo Skeletal – e.g. back, neck, knees, etc...				
Anxiety, stress, depression, diabetes, asthma				
Any family history of health problems				
Investment Direction/Attitude				
My main investment focus is predominantly		Income	Some Income	Mod Growth
My Investment Timeframe is		0-2 Years	0-5 Years	5 Years Plus
I would class myself investment stance as		Timid	Conservative	Balanced
Do you have any ethical considerations for your investments				
The next step and Authorisation				
Further Action Client				
Further Action Me				
This is a fair picture of my/our current finances, goals and concerns and I agree to these values goal etc... being used as the basis for my report		Client		Date
		Spouse		Date